

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Shaoping Wang et al.

Filed: Herewith

Title: METHOD OF SILICON CARBIDE  
MONOCRYSTALLINE BOULE  
GROWTH

"Express Mail" Mailing Label

No. EL 843429522 US

Date of Deposit November 1, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

  
Carole Aleman

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

UTILITY PATENT APPLICATION TRANSMITTAL

*New nonprovisional application under 37 CFR 1.53(b)*

1. ☒ Fee Transmittal Form *(Submit an original, and a duplicate for fee processing)*
2. ☒ Specification [Total Pages  ]
3. ☒ Drawing(s) (35 USC 113) [Total Pages  ]
4. ☒ Oath or Declaration [Total Pages  ]
  - a. ☒ Newly executed
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
*[Note Box 5 below]*
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).
5. ☐ Incorporation By Reference *(useable if Box 4b is checked)*. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**DOCUMENTS ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers ✓
8. ☒ 37 CFR 3.73(b) Statement  
(when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure  
Statement (IDS)/PTO-1449 ☐ Copy of IDS  
Citation
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☒ Request Not to Publish and Certification Under 35 U.S.C. 122(b)(2)(B(i))
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other: \_\_\_\_\_

16. If a CONTINUING APPLICATION, check the appropriate box  
and supply the requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ..of prior application No.: \_\_\_\_/\_\_\_\_

17. Correspondence Address

NAME: Joel H. Bootzin

ADDRESS: Piper Marbury Rudnick & Wolfe  
Suite 1800  
203 North LaSalle Street

CITY: Chicago

STATE: Illinois

ZIP CODE: 60601-1293

COUNTRY: USA

TELEPHONE: (312) 368-7072

FAX: (312) 236-7516

18 The filing fee has been calculated as shown below

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	30 - 20 =	0	x \$18=	\$180.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	7 - 3 =	4	x \$84=	\$336.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$280=	0
				BASIC FEE (37 CFR 1.16(a))	\$740.00
				Total of above Calculations =	\$
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	TOTAL =				\$1256.00

19. ☐ Small entity status:

a. ☐ Applicant asserts small entity status under 37 CFR 1.27.

20. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 18-2284:

- a. ☒ Any additional filing fees required under 37 CFR 1.16 for the application or any amendment thereto, once any check for fees submitted herewith has been taken into account
- b. ☒ Fees required under 37 CFR 1.17

21. ☒ A check payable to the Director of the United States Patent and Trademark Office in the amount of \$1256.00 is enclosed.

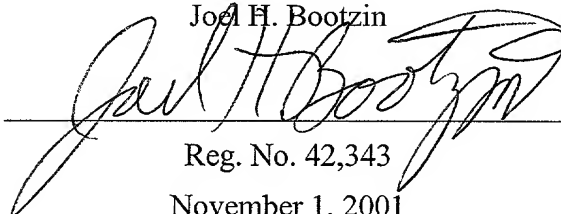
22. Other: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT (REQUIRED)

NAME:

Joel H. Bootzin

SIGNATURE:



REGISTRATION

Reg. No. 42,343

DATE

November 1, 2001

Attorney Docket No.: P6244 (300842-008003)

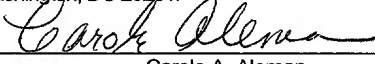
IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Inventors: Shaoping Wang et al.

Filed: Herewith

Title: METHOD OF SILICON CARBIDE

MONOCRYSTALLINE BOULE GROWTH

<p>"Express Mail" Mailing Label</p> <p>No. EL 843429522 US</p> <p>Date of Deposit <u>November 1, 2001</u></p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.</p> <p> Carole A. Aleman</p>
--

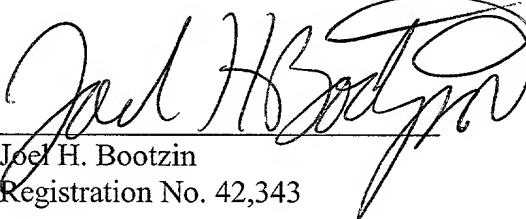
Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST NOT TO PUBLISH AND

CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Dear Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

  
Joel H. Bootzin  
Registration No. 42,343

Piper Marbury Rudnick & Wolfe  
P.O. Box 64807  
Chicago, Illinois 60440-0807  
Telephone: (312) 368-7072  
November 1, 2001